

RECORDATION FORM COVER SHEET

US Department of Commerce
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Patent & Trademark Office

To the Honorable Commissioner of Patents and Trademarks:

Please record the attached original documents or copy thereof.

1. Name(s) of conveying party:

Yimin Hsu
Hugo Alberto Emilio Santini
Neil Smith
Mason Lamar Williams

2. Name and address of receiving party:

International Business
Machines Corporation
New Orchard Road
Armonk, NY 10504

Additional name(s) of conveying party(ies) attached Yes XX No .

3. Nature of conveyance:

XX Assignment

Execution Date: 01/25/2001, 01/30/2001
01/30/2001 02/01/2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 01/25/2001, 01/30/2001, 01/30/2001 02/01/2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes XX No

5. Name and address of party to whom correspondence concerning document should be mailed:

Marlin Knight (#33,409)
Hoyt & Knight
PO Box 1320
Pioneer, CA 95666

6. Total Number of applications and patents involved: One

7. Total fee (37 CFR 3.41).....\$ 40.00

Authorized to be charged to deposit account 09-0466

8. Deposit Account Number: 09-0466

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

(x) Marlin Knight

Date: 2-2-2001

(Total number of pages including cover sheet, attachments, and document: 2)

SJO9-1999-0210US1

ASSIGNMENT

Whereas, we:

(1) Yimin Hsu	of Sunnyvale, CA
(2) Hugo Alberto Emilio Santini	of San Jose, CA
(3) Neil Smith	of San Jose, CA
(4) Mason Lamar Williams	of San Jose, CA

have invented certain improvements in a

Magnetic Transducer with Pedestal Pole Piece Structure

and executed, respectively, a declaration for a United States patent application therefor on

(1) Feb, 1th, 2001;
(2) Jan 25th, 2001;
(3) January 30th, 2001;
(4) January 30, 2001.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor.

Now, therefore, for a valuable consideration, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Executed:

(1) at Sunnyvale (CITY) (x) Yimin Hsu
on DATE 2/1/2001, 2001, SIGNATURE of INVENTOR (1)

(2) at San Jose (CITY) (x) Hugo Alberto Emilio Santini
on DATE 01/25/2001, 2001, SIGNATURE of INVENTOR (2)

(3) at San Jose (CITY) (x) Neil Smith
on DATE January 30th, 2001, SIGNATURE of INVENTOR (3)

(4) at San Jose (CITY) (x) Mason Lamar Williams
on DATE January 30, 2001, SIGNATURE of INVENTOR (4)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Magnetic Transducer with Pedestal Pole Piece Structure

the specification of which (check one)

is attached hereto.

was filed on

as Application Serial No.

and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

<u>None</u>		
(Number)	(Country)	(Day/Month/Year Filed)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56, which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>None</u>			
(Application Serial No.)	(Filing Date)	(Status:patented, pending, abandoned)	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Paik Saber (#37,494)
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Marlin Knight (#33,409)
Douglas R. Millett (#31,784)
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Full name of joint inventor: **Yimin Hsu** (family name last)

Inventor's signature (x) *Yimin Hsu*

Date: 2-1-2001

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Full name of joint inventor: **Hugo Alberto Emilio Santini** (family name last)

Inventor's signature (x) *Hugo Alberto Emilio Santini*

Date: 2/25/2001

Residence City: San Jose

State: **CA** Country: **USA**

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Full name of joint inventor: Neil Smith (family name last)

Inventor's signature (x) Neil Smith Date: 1/30/01

Residence City: San Jose

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Full name of joint inventor: Mason Lamar Williams (family name last)

Inventor's signature (x) Mason Lamar Williams Date: January 30, 2001

Residence City: San Jose

State: CA Country: USA

Citizenship: USA

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